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Date: 29 October 2004

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X16755

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Attorney Docket Number

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STATEMENT UNDER 37 CFR 3.73(b)	•
Applicant/Patent Owner. William D. Huse	
Application No./Patent No.: 09/434,870 Filed/Issue Date: Nov	ember 4, 1999
Entitled: Methods of Optimizing Antibody Variable Region Binding Affinity	
Applied Molecular Evolution, Inc. a Corporation (Name of Assignee) (Type of Assignee, e.g., corporation	partnership, university, government agency, etc.)
states that it is: 1. X the assignee of the entire right, title, and interest; or	
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in the patent application/patent identified above by virtue of either:	•
A. An assignment from the inventor(s) of the patent application/patent identified ab in the United States Patent and Trademark Office at Reel, Fram thereof is attached. OR	e, or for which a copy
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Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s Division in accordance with 37 CFR Part 3, if the assignment is to be record MPEP 302.08])) must be submitted to Assignment ed in the records of the USPTO. <u>See</u>
The undersigned (whose title is supplied below) is authorized to act on behalf of the a	assignee.
Many Am Wisherch	10-29-04
Signature	Date
Mary Ann Wiskerchen	<u>317-655-9326</u>
Printed or Typed Name	Telephone Number
Patent Agent Title	
i ina	

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Additional names(s) of conveying	party(ies)	☐ Yes 🐯 No	ļ 		
3. Nature of conveyance:					·
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Other	<u> </u>		City San Diego	State: S	CA ZIP: 92121
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attention: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on February 7, 2000.

Bu

David A. Gay, Reg. No. 39,200

February 7, 2000 Date of Signature

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DECLARATION FOR PATENT APPLICATION

As the below-named inventors, we hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled METHODS OF OPTIMIZING ANTIBODY VARIABLE REGION BINDING AFFINITY, the specification of which

is attached hereto as Attorney Docket No.) was filed on November 4, 1999 as Application Serial No. 09/434,870 (Attorney Docket No. P-IX 3458) and was amended on (or amended through).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to myself to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

Under Sec. 1.56, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and (1) It establishes, by itself or in combination with other

Huse et al.

Serial No.:

09/434,870

Filed: Page 2 November 4, 1999

information, a prima facie case of unpatentability of a claim; or (2) It refutes, or is inconsistent with, a position the applicant takes in: (a) Opposing an argument of unpatentability relied on by the U.S. Patent and Trademark Office, or (b) Asserting an argument of patentability.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: William D. Huse

Inventor's signature:

Date:

7,2400

Residence:

United States of America

Citizenship:

United States of America

Post Office Address:

471 Avenida Primavera Del Mar, California 92014

Huse et al. 09/434,870

Serial No.: Filed:

November 4, 1999

Page 3

Full name of second inventor: Jeffry Watkins

Inventor's signature:

Residence:

United States of America

Citizenship:

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Post Office Address:

455 Joline Way

Encinitas, California 92024

Full name of third inventor: Herren Wu

Inventor's signature:

1/14/00

Residence:

United States of America

Citizenship:

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Post Office Address:

5255 Timber Branch Way

San Diego, California 92130

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DECLARATION FOR PATENT APPLICATION

As the below-named inventors, we hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled METHODS OF OPTIMIZING ANTIBODY VARIABLE REGION BINDING AFFINITY, the specification of which

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X	was filed on November 4, 1999
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· !	(Attorney Docket No. P-IX 3458)

and was amended on (or amended through) (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose to the U.S.

Patent and Trademark Office all information known to myself to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

Under Sec. 1.56, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and (1) It establishes, by itself or in combination with other

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Serial No.:

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Page 2

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Full name of first inventor: William D. Huse

Inventor's signature:

Date:

nuary 7, 2900

Residence:

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Citizenship:

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Post Office Address:

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Huse et al.

Serial No.:

09/434,870

Filed:

November 4, 1999

Page 3

Full name of second inventor: Jeffry Watkins

Inventor's signature:

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01-14-00

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Encinitas, California 92024

Full name of third inventor: Herren Wu

Inventor's signature:

pleur C

Date:

1/14/00

Residence:

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Citizenship:

Taiwan

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San Diego, California 92130

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